



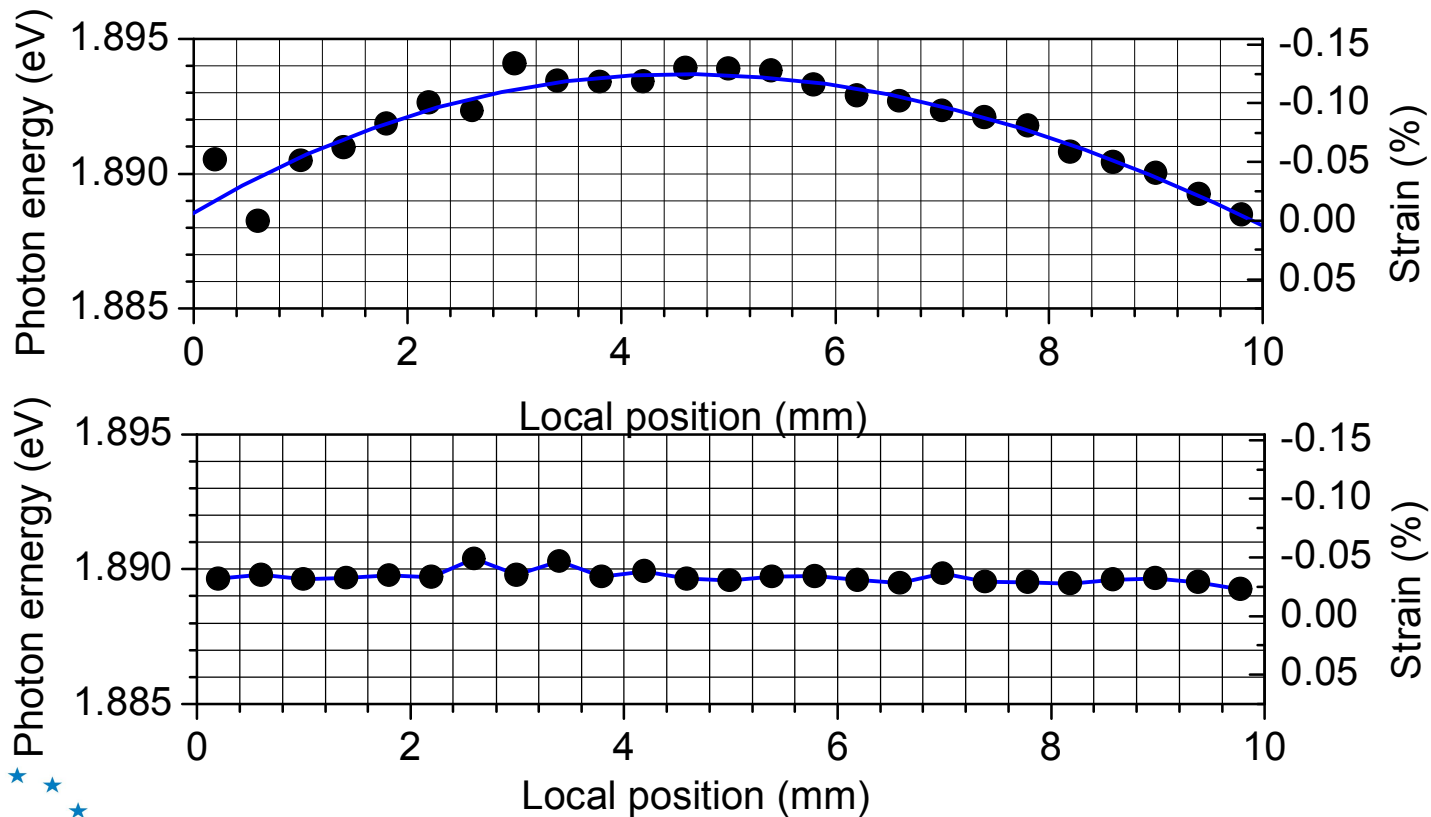
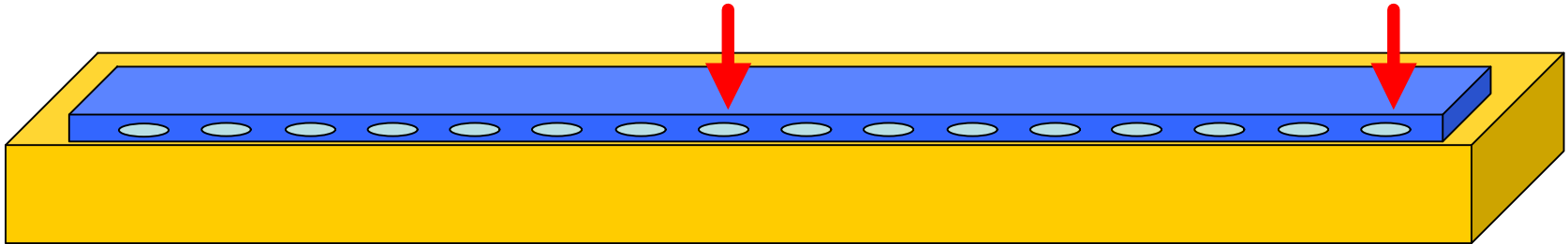
By emitter degradation analysis of high-power diode laser bars

Eric Larkins and Jens W. Tomm

Outline Part II

- II. 1. Introduction
 - II.1.1 Strain measurement in semiconductors and devices
 - II.1.2 Detection of defects in semiconductors and devices
- II. 2. Observation of defects caused by packaging-induced strain
- II. 3. Observation of strain caused by defects
- II. 4. The interplay between strains and defects during device operation as monitored by “by-emitter” degradation analysis
- II. 5. Conclusions

II. 1. Introduction



5 meV ~
0.12%

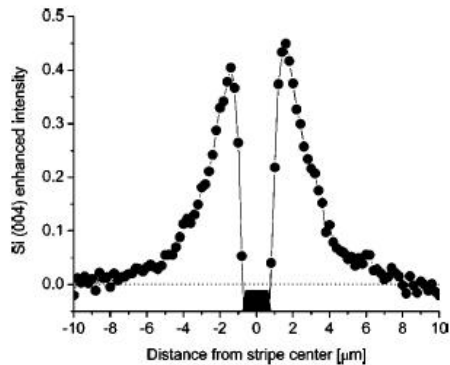
Biermann
et al. *JAP*
96, 4056
(2004).

How to detect strain?

Directly: X-ray spatially resolved or imaging

1. Scanning techniques

4164 Appl. Phys. Lett., Vol. 83, No. 20, 17 November 2003



Y. Tsusaka et al. *Jpn. J. Appl. Phys.* **39**, L 635-7 (2000).
“Formation of parallel X-ray microbeam and its application”

C. E. Murray et al.
Stripes etched into an
 $\text{Si}_{0.85}\text{Ge}_{0.15}/\text{Si}$ epilayer
 $\epsilon = 0.63\%$

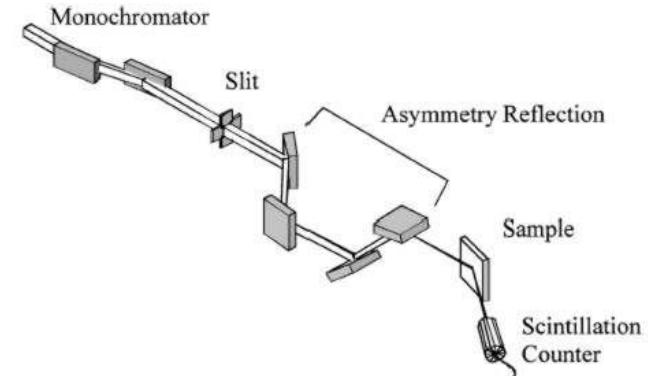


Fig. 1. Optical system of the parallel X-ray microbeam.

2. Topography

D. Lowney et al.
J. Mat. Sci. Eng. **12**, 249-53 (2001).
Examination of LEDs ...using
synchrotron X-ray topography

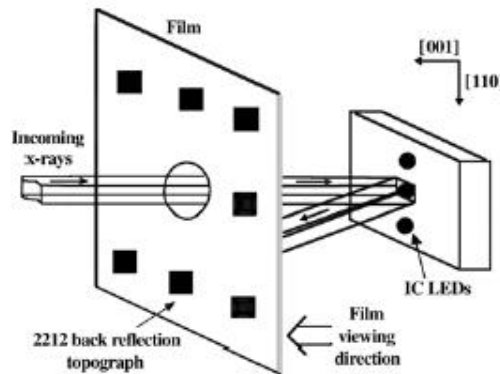
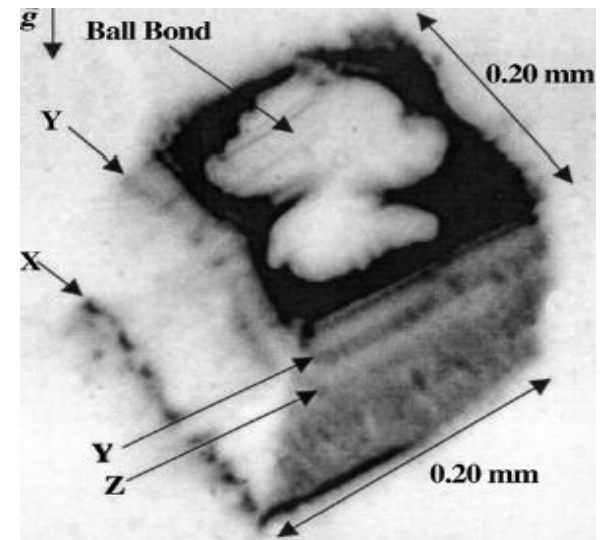


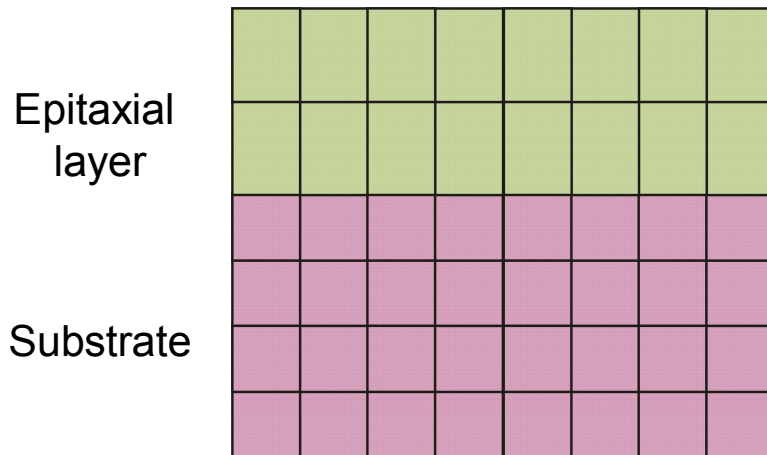
Figure 1 Back reflection geometry in large-area mode—only one diffracted beam has been drawn for clarity.



II.1.1 Strain measurement in semiconductors and devices

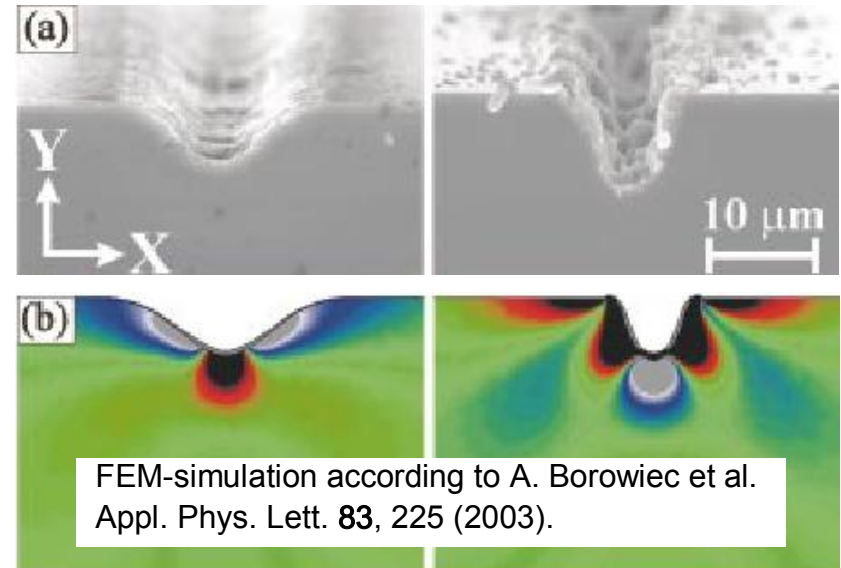
Strain creation within the structure or device, e.g., during

1. crystal growth

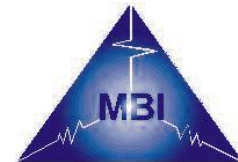


intrinsic or built-in strain

2. processing

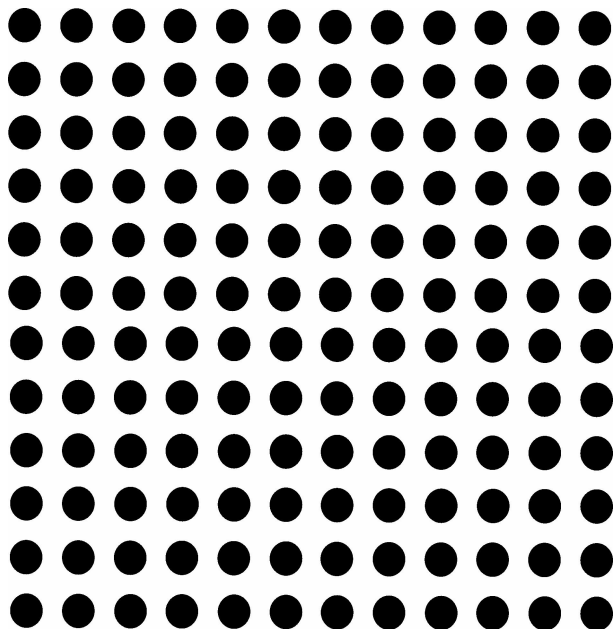


extrinsic processing-induced strain



3.

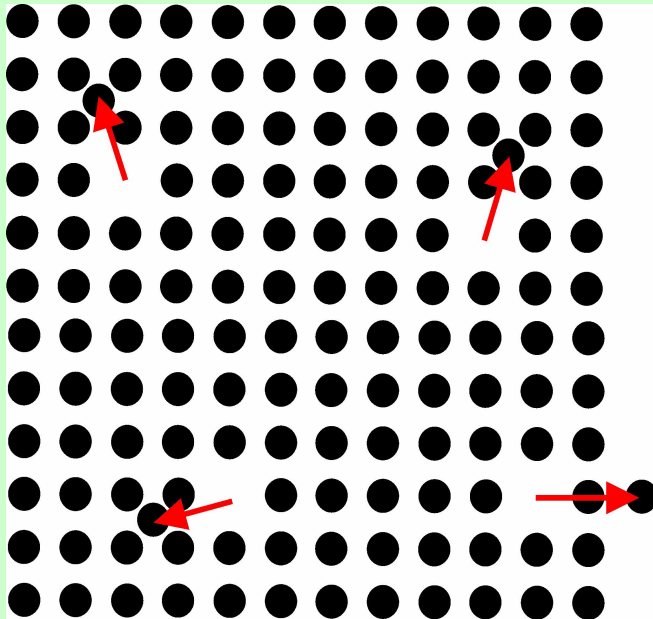
creation of native
point defects



extrinsic strain caused by
defect creation

3.

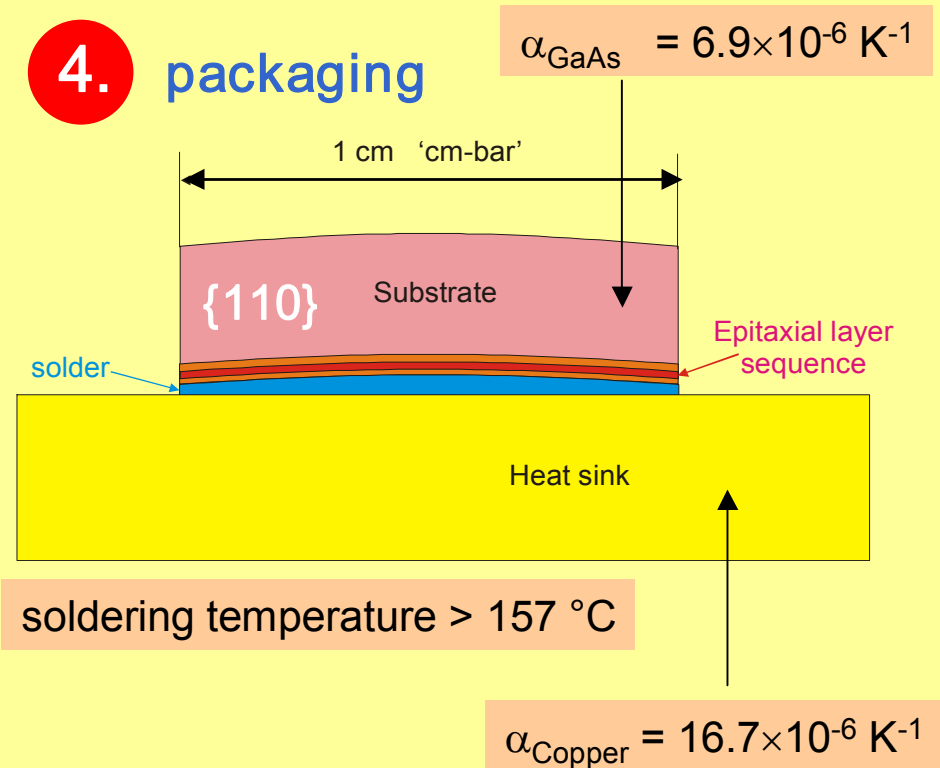
creation of native
point defects



extrinsic strain caused by
defect creation

4.

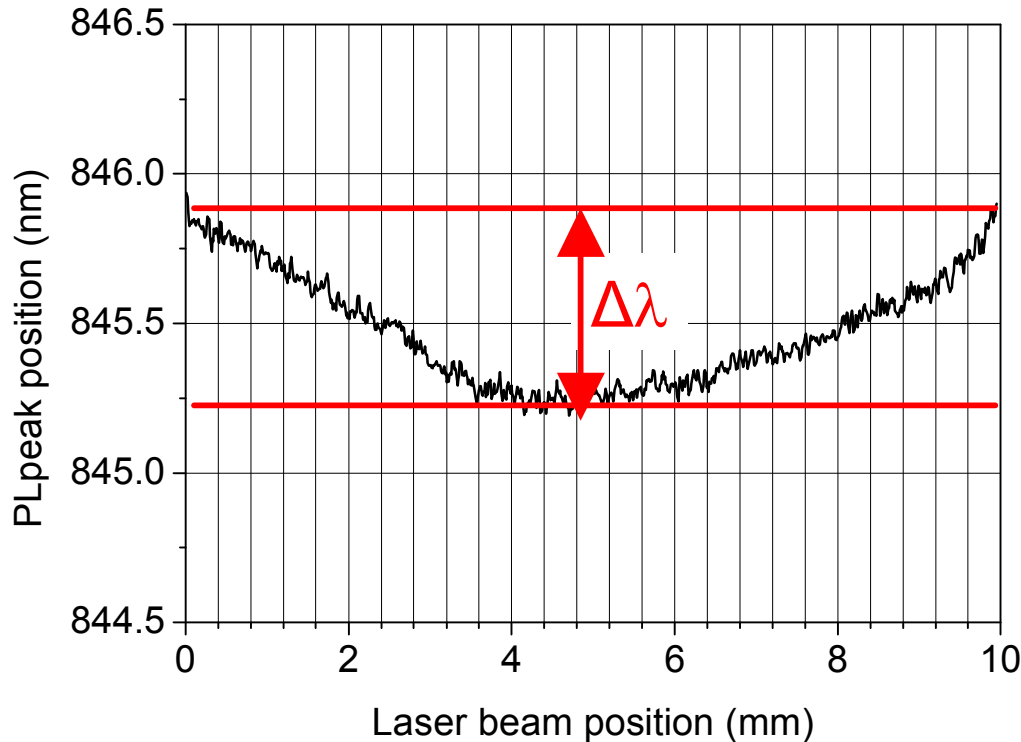
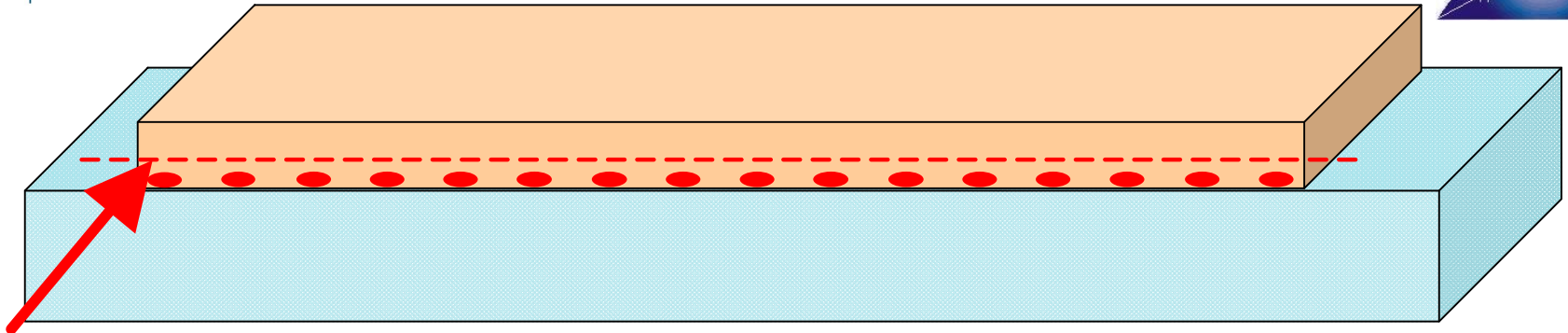
packaging



extrinsic packaging-induced strain

Spectroscopic strain analysis by checking the electronic bandstructure

II.1.1 Strain measurement in semiconductors and devices

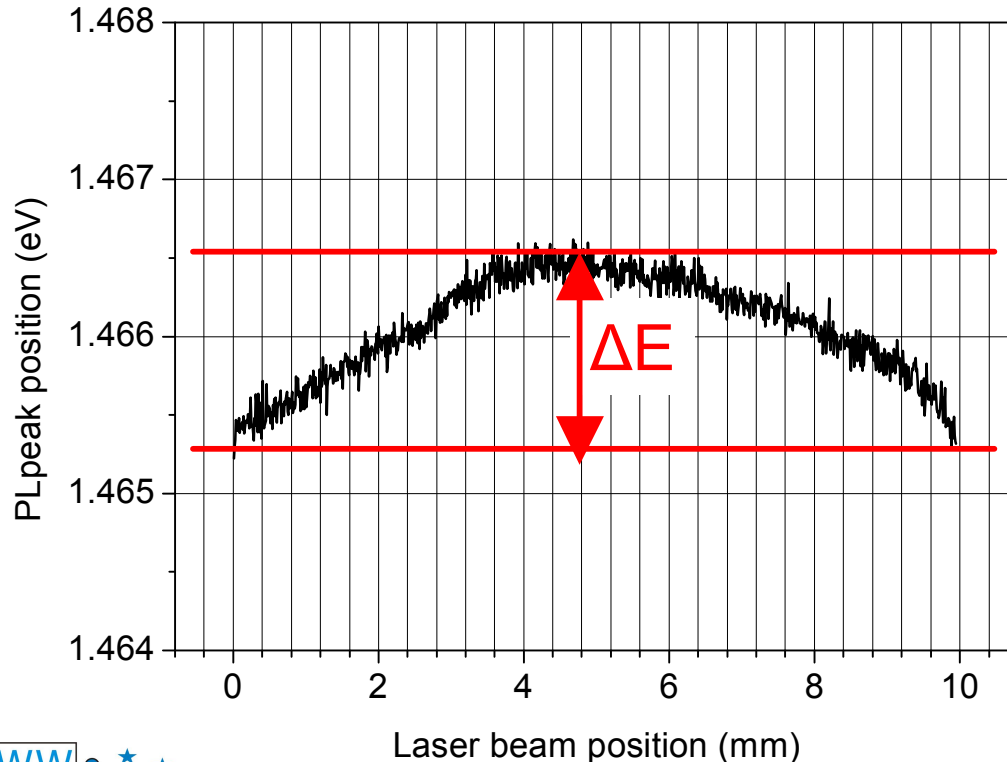
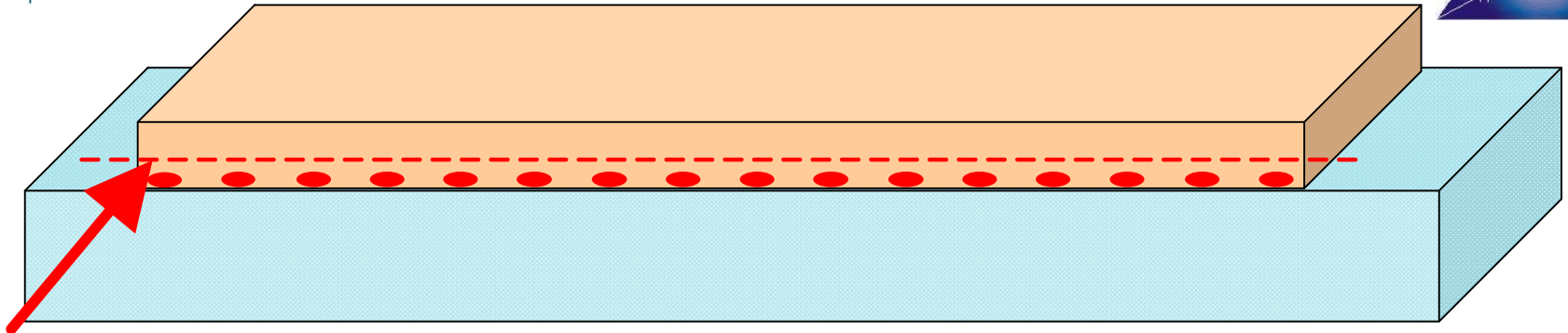


- Micro-PL scan along the middle of the substrate
- Plot: PL peak vs. position along the bar
- Stress assessment of a mounted bar by the total bent ($\Delta\lambda$ in nm) of the curve.

P. Martin et al. *Appl. Phys. Lett.* 75, 2521 (1999).

THALES

II.1.1 Strain measurement in semiconductors and devices



- Micro-PL scan along the middle of the substrate
- Plot: PL peak vs. position along the bar
- Stress assessment of a mounted bar by the total bent (ΔE in nm) of the curve.

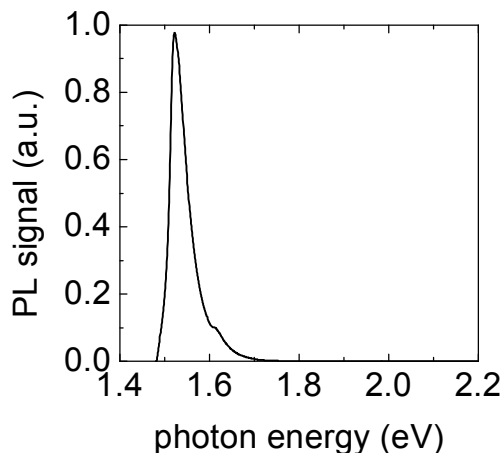
P. Martin et al. *Appl. Phys. Lett.* 75, 2521 (1999).

THALES

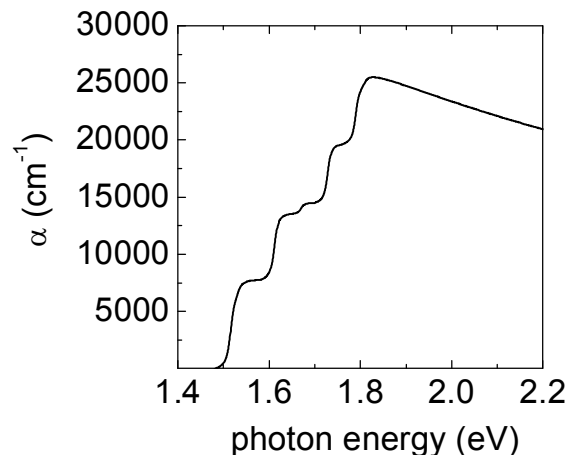
II.1.1 Strain measurement in semiconductors and devices

Methodology: Analysis of packaging-induced strains
by photocurrent spectroscopy

Photoluminescence (PL)



Absorption



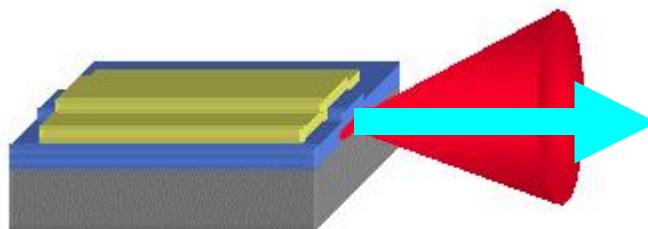
We can not
place a detector
behind the
device.

Classical optical
spectroscopy

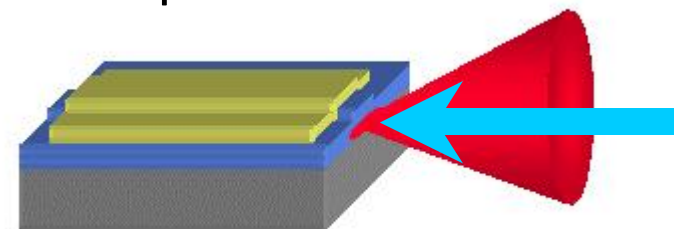


Device
analysis

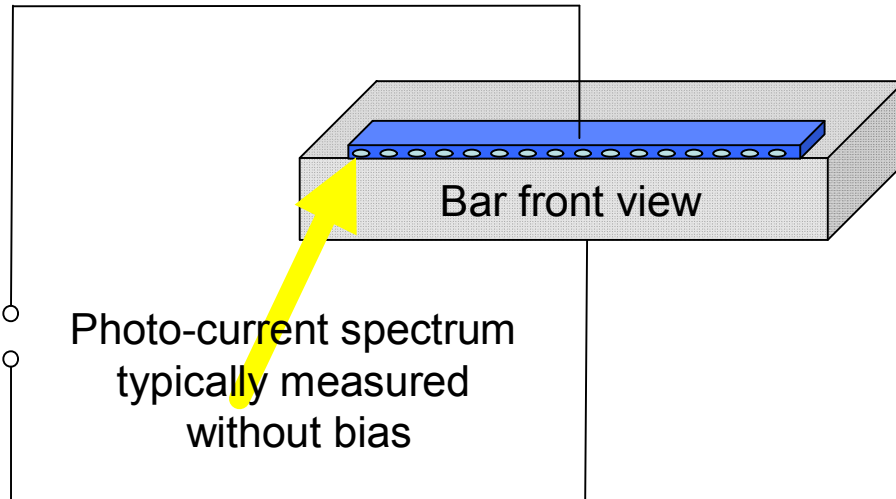
PL or EL emission



Absorption ~ **Photocurrent!**



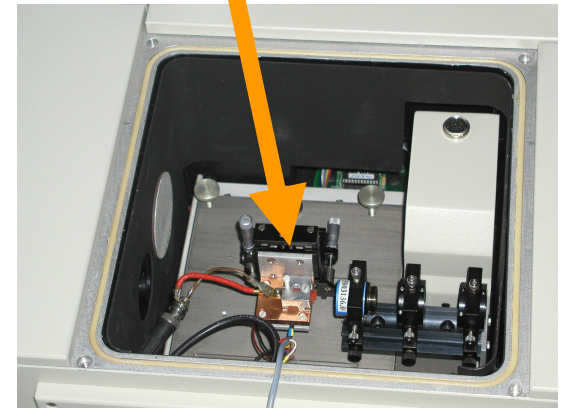
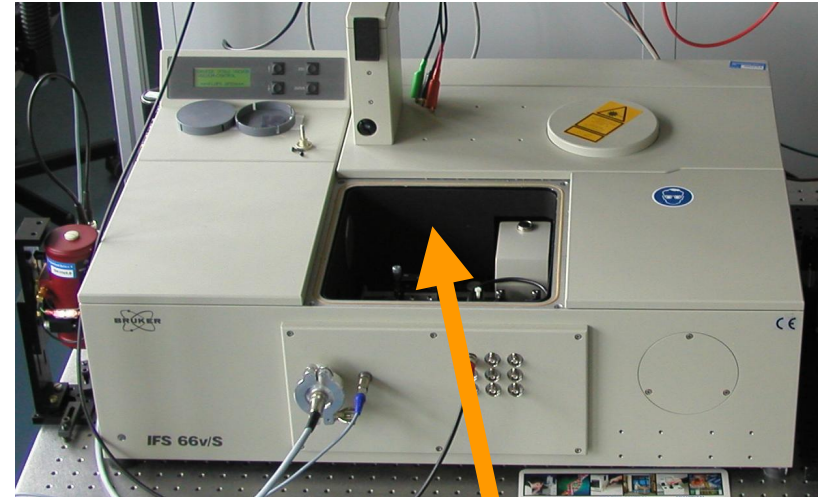
Spatial resolved photocurrent measurement



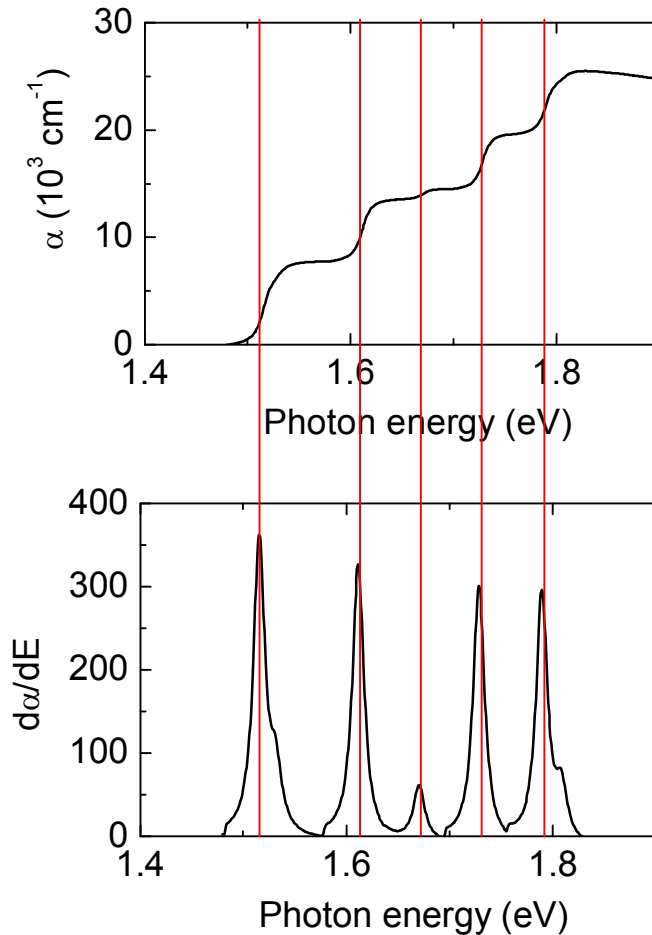
Excitation light: Typically the whole emitter is excited. But information comes from regions with potential gradients only.

$\varnothing_{FWHM} \sim 100 \mu m$, $I=2 Wcm^{-2}$

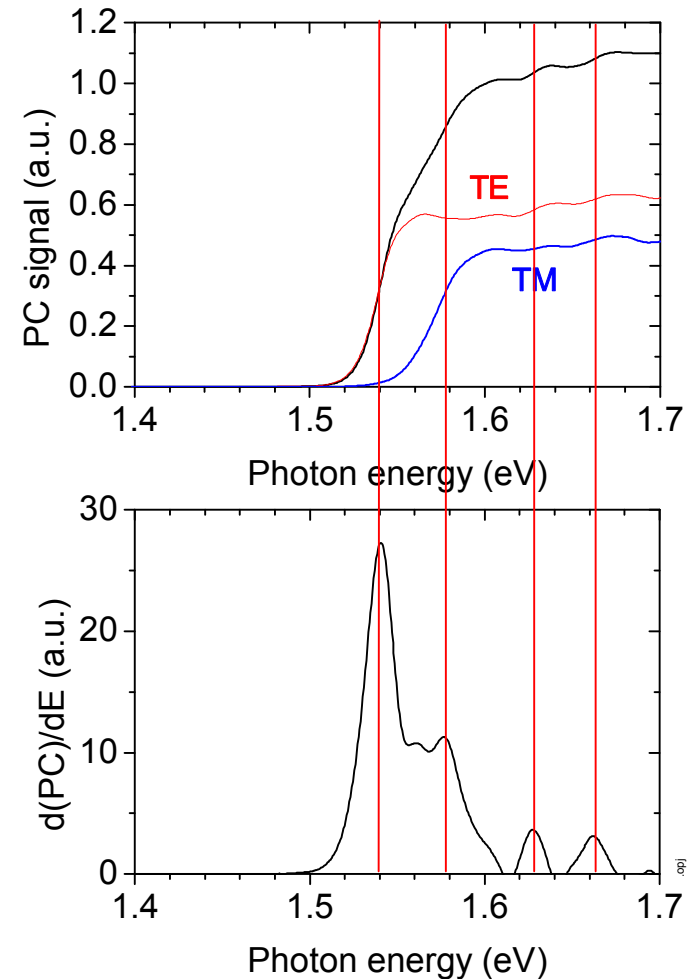
Measuring time \sim several minutes per spectrum
(2-3 h per bar)



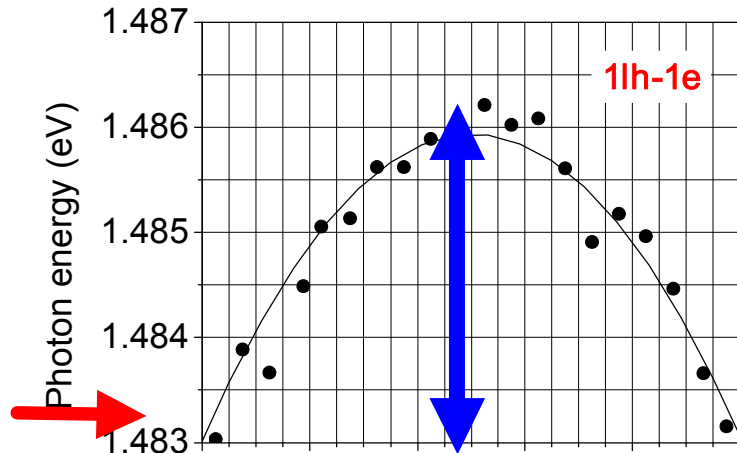
Absorption spectrum of a QW



Photocurrent data from a diode laser array



By-emitter analysis of data collected along an array:

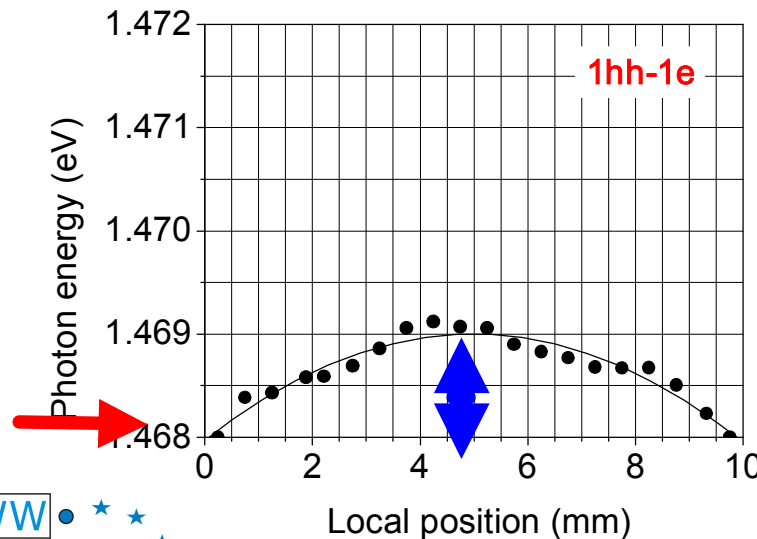


The only strain contribution that varies globally along the device is packaging-induced strain.

FEM-modelling of the soldering process:
Uniaxial compression along [110] direction

J. W. Tomm et al.

Appl. Phys. Lett. 82, 4193-4195 (2003).



$k \cdot p$ bandstructure calculation:
Assuming uniaxial stress along [110],
then the hh/lh-shifts should follow the
ratio 1:3

M. L. Biermann et al.

J. Appl. Phys. 96, 4056-4065 (2004).

QW photocurrent reveals:

1. Information on Strain

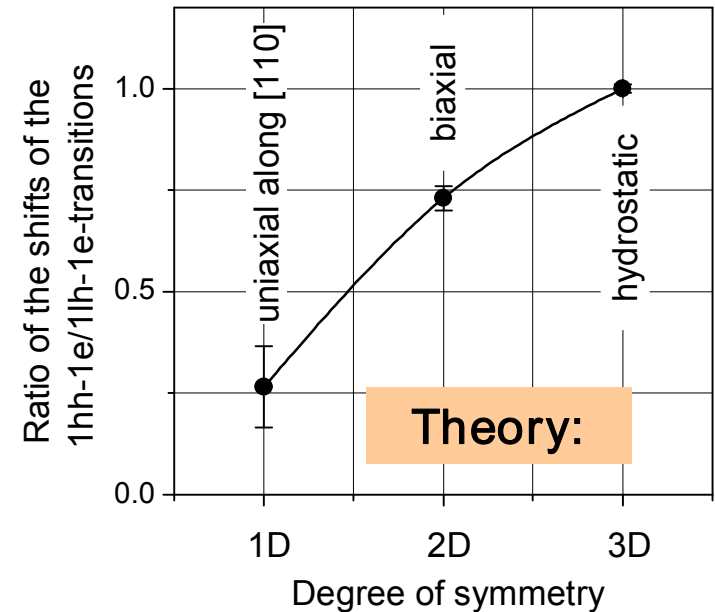
- a) If one knows the strain geometry one can quantify the strain
- b) Strain geometry can be estimated

2. Information on Defects

QW-photocurrent \sim population δn

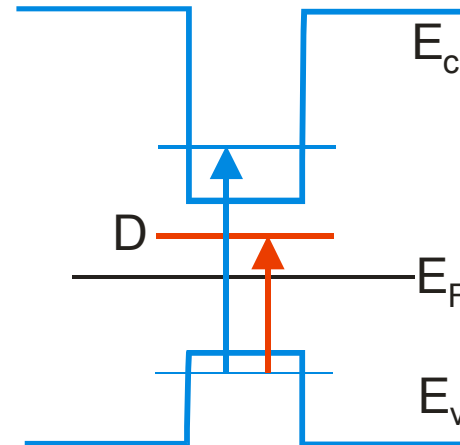
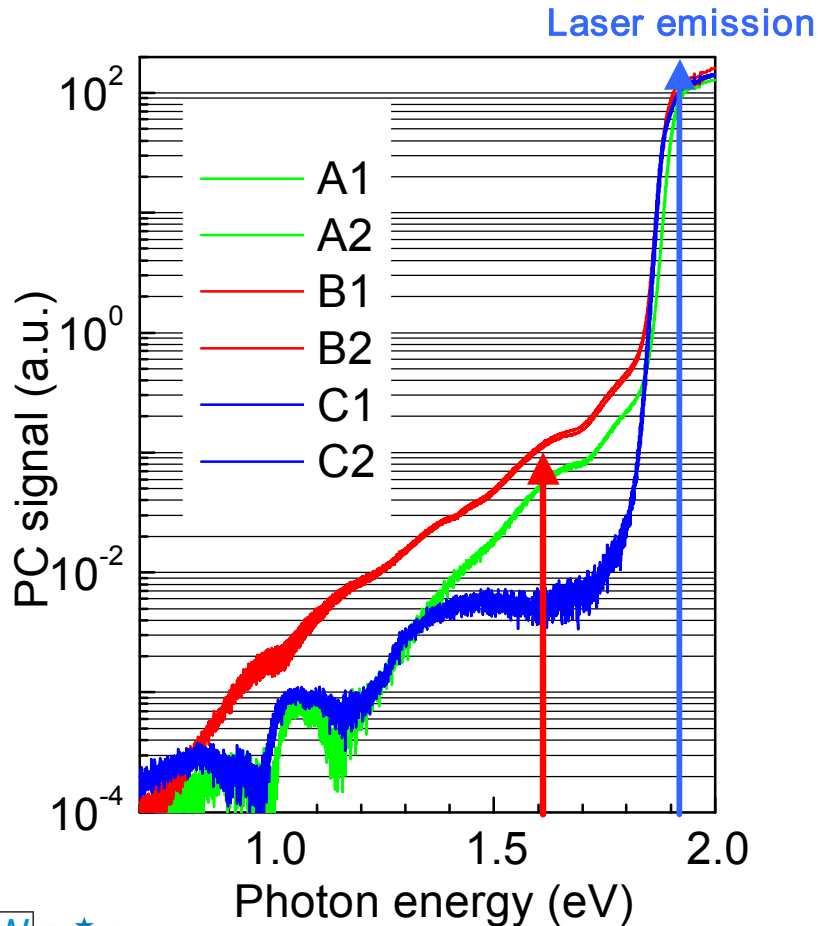
$$\tau = \delta n / G$$

$\tau \sim \delta n$ “ τ - mapping”

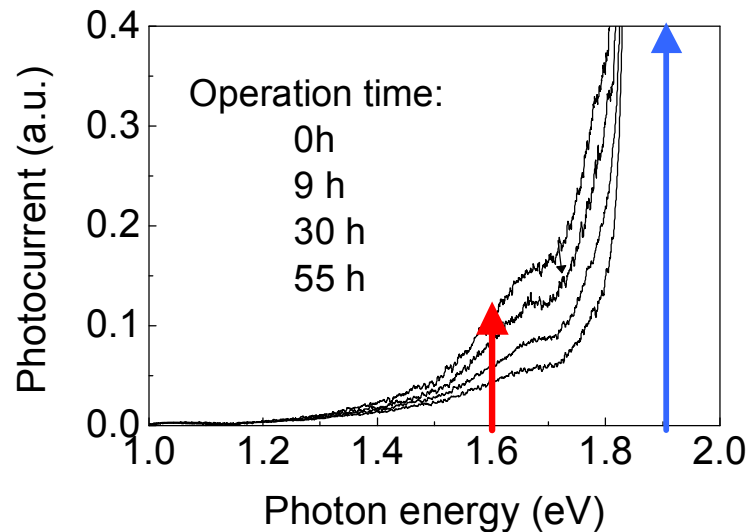


II.1.2 Detection of defects in (semiconductors and) devices

Photocurrent Spectroscopy (PCS)



Ropers et al.
APL 88, 133513
(2006).



II.1.2 Detection of defects in (semiconductors and) devices

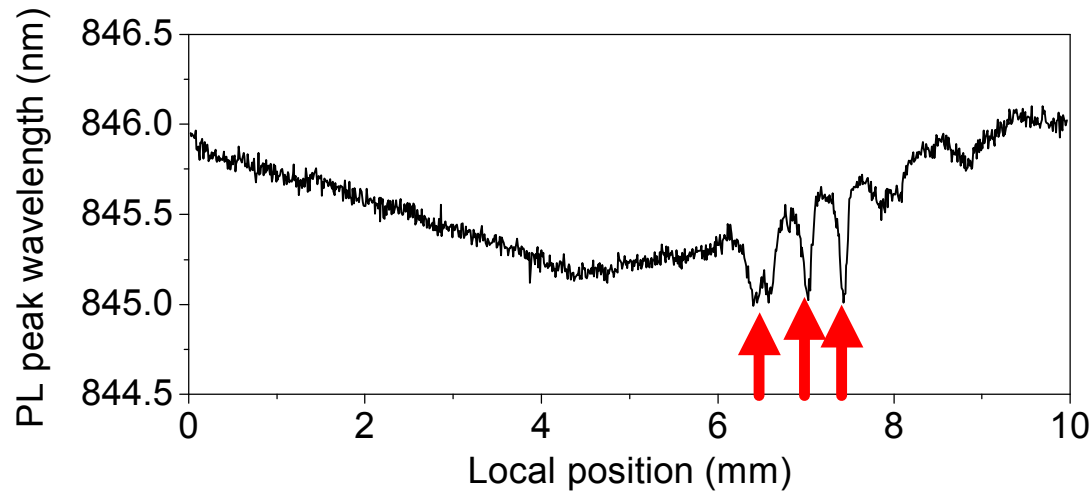
Electroluminescence (EL)



Filamentation

Dark Spot Defects

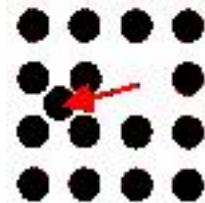
Photoluminescence (PL)



II.1.2 Detection of defects in semiconductors and devices

What type of defects are expected:

a) Point defects
(Frenkel pair)



Antisite defects:
Liu et al. *APL* 67, 279 (1995).

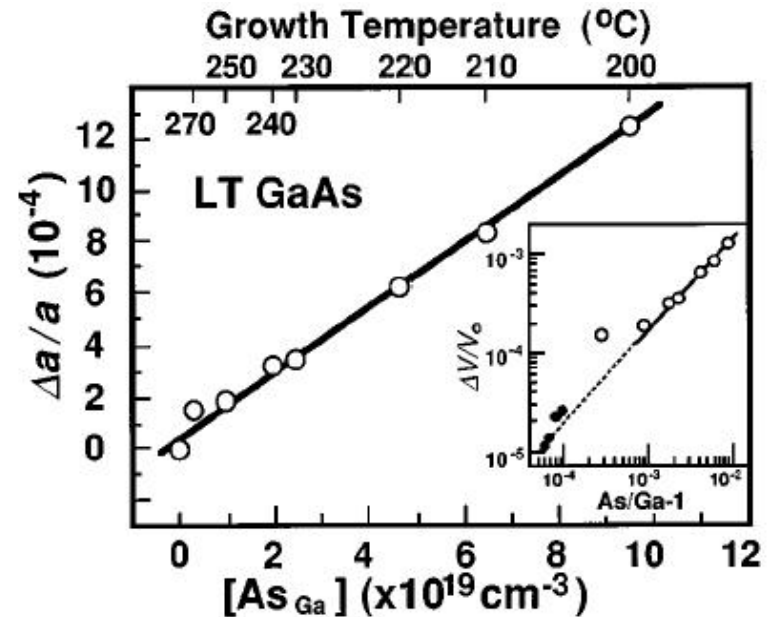
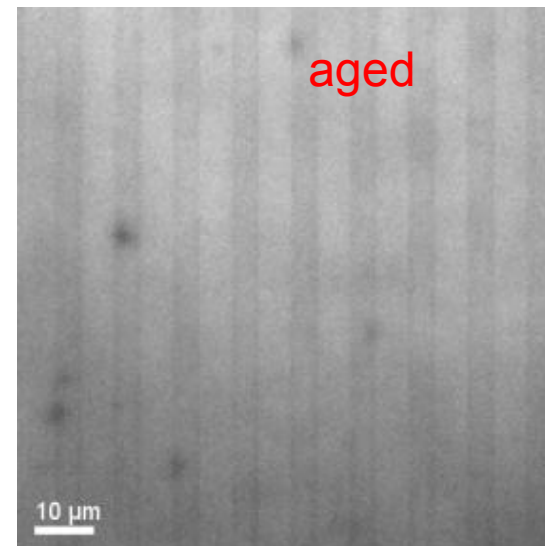
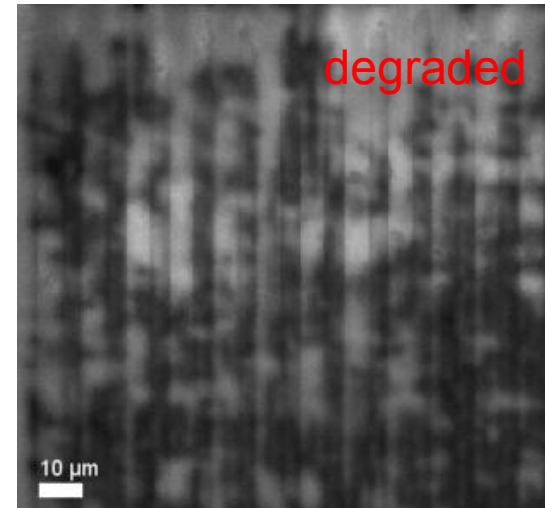
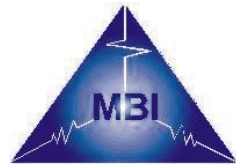


FIG. 2. Relative change of lattice parameter plotted as a function of the concentration of As_{Ga} for LT GaAs grown at different temperatures. The inset shows a log-log plot of both our data of LT GaAs (\circ) and that from Terashima *et al.* (\bullet) of As-rich bulk GaAs (see Ref. 19).

b) Agglomerates of point defects



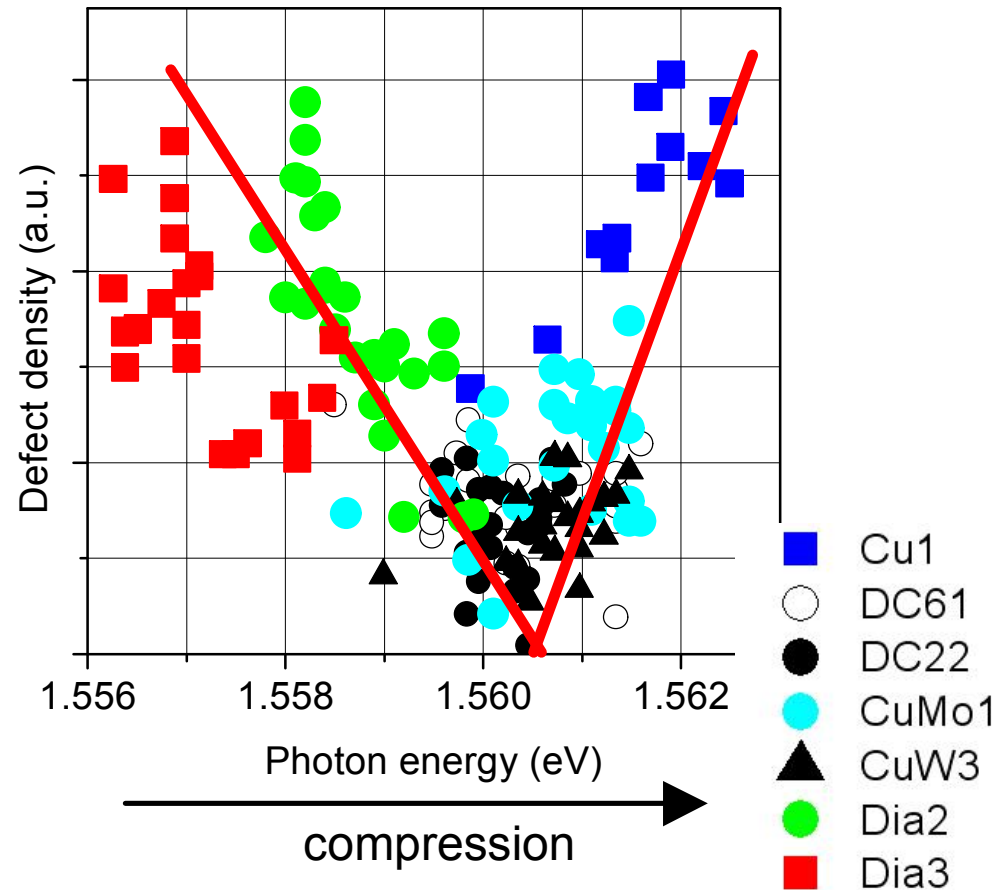
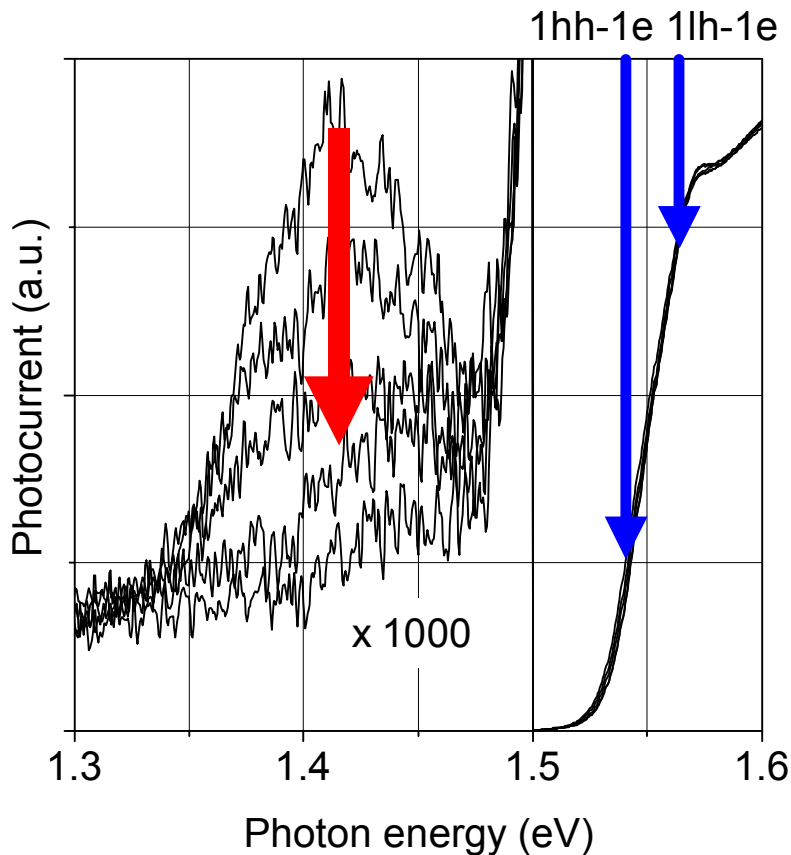
II.1.2 Detection of defects in (semiconductors and) devices



Tien et al.
APL 87, 211110
(2005)

II. 2. Observation of defects caused by packaging-induced strain

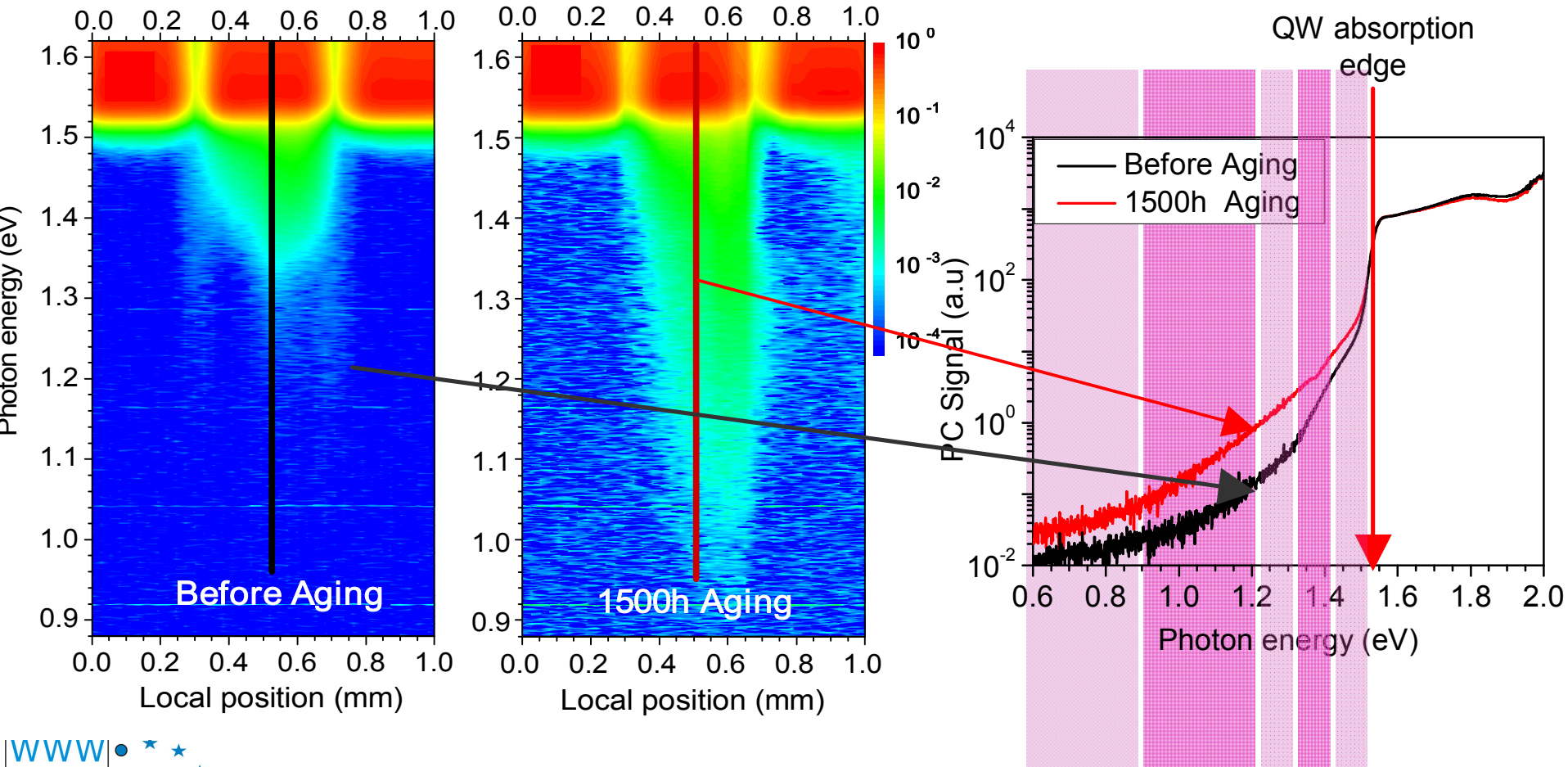
Analysis of the magnitude of defect bands versus spectral positions of the optical transitions for 7 differently packaged bars from the same batch:



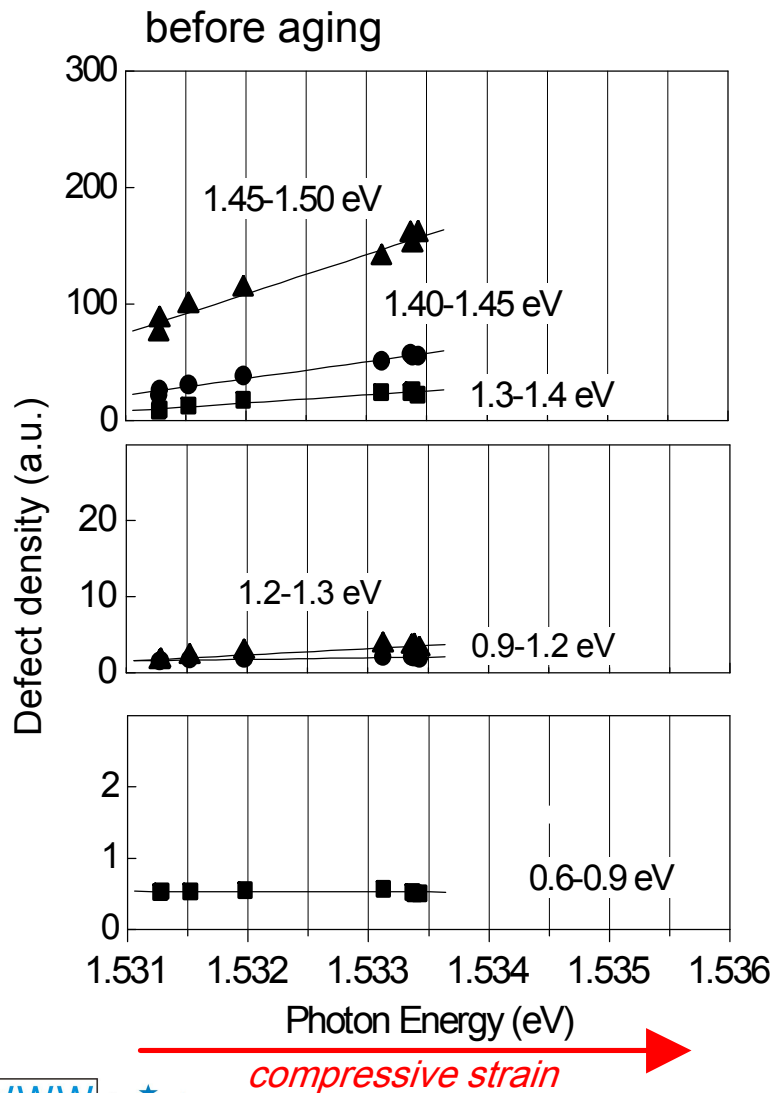
Tomm et al. *APL* 81, 3269 (2002).

II. 3. Observation of strain caused by defects

By analyzing data within one single emitter, we keep the parameter ,packaging-induced strain' constant.

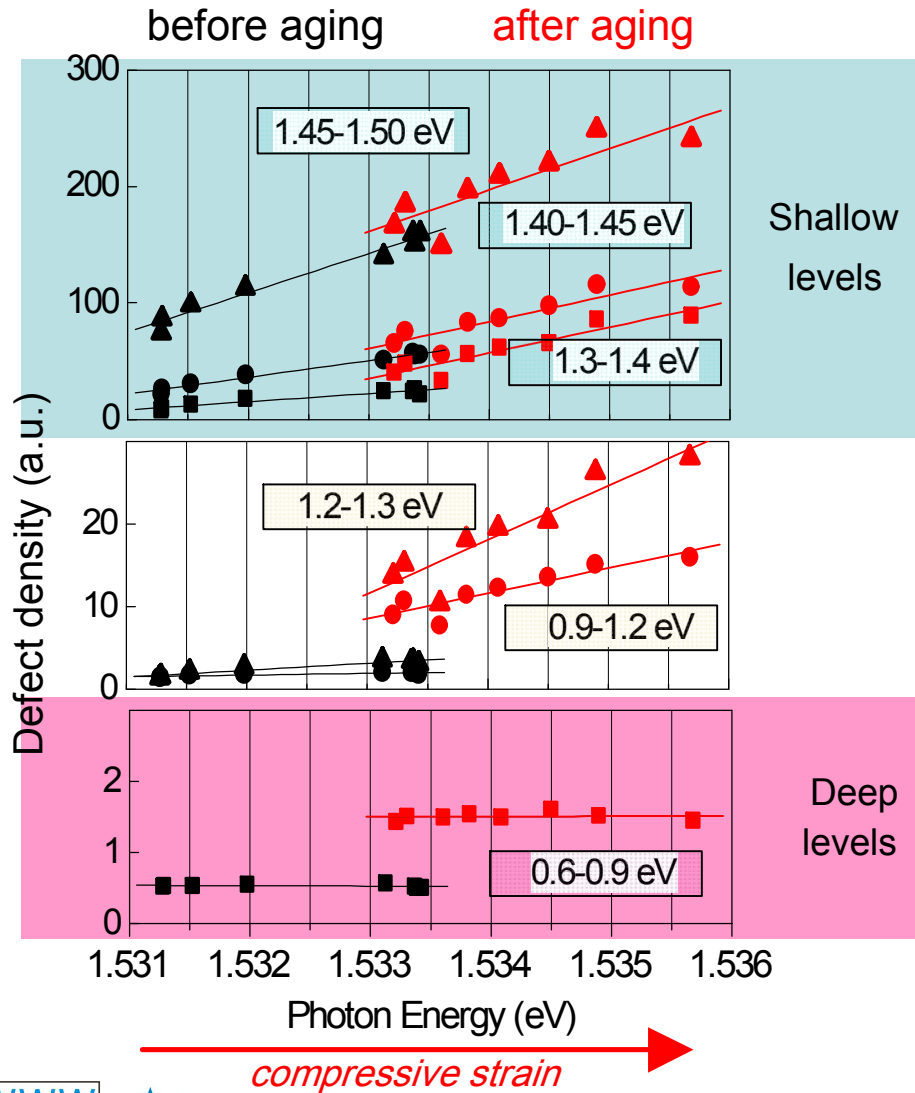


II. 3. Observation of strain caused by defects



T. Q. Tien et al. *APL* 86, 111908, (2005).

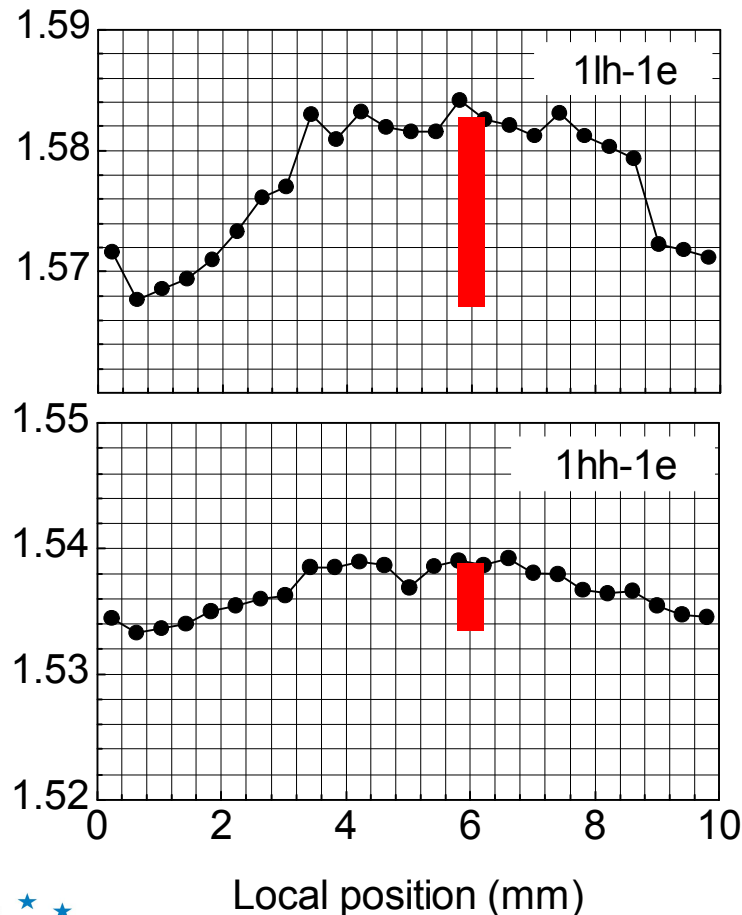
II. 3. Observation of strain caused by defects



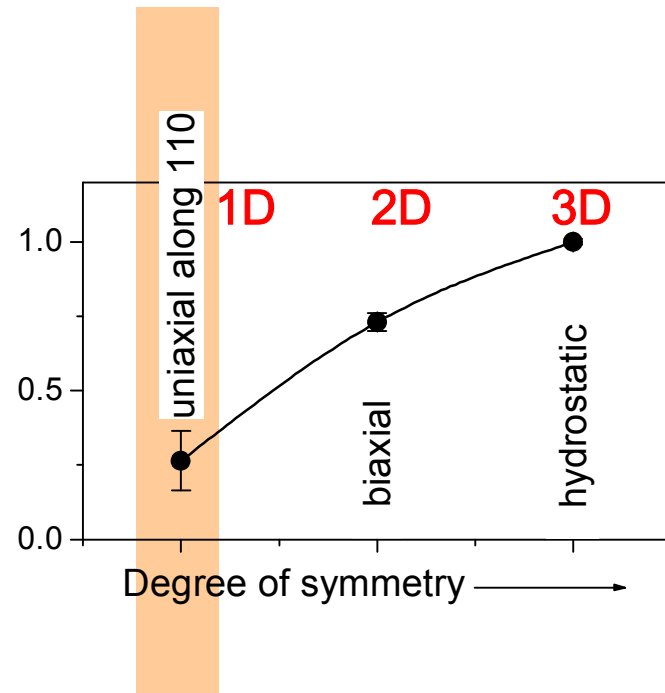
- During aging, the defect concentration increases
- Additional defects act as driving force that enhances internal strain in active region.
- Deep levels: No correlation between strains and defect concentration
- Shallow levels: strong correlation between strains and defect concentration

T. Q. Tien et al. *APL* 86, 111908, (2005).

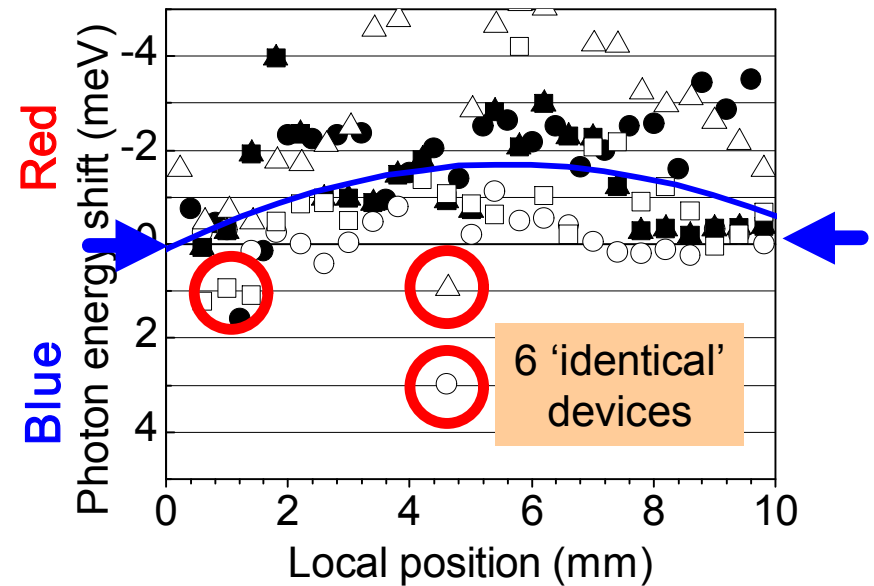
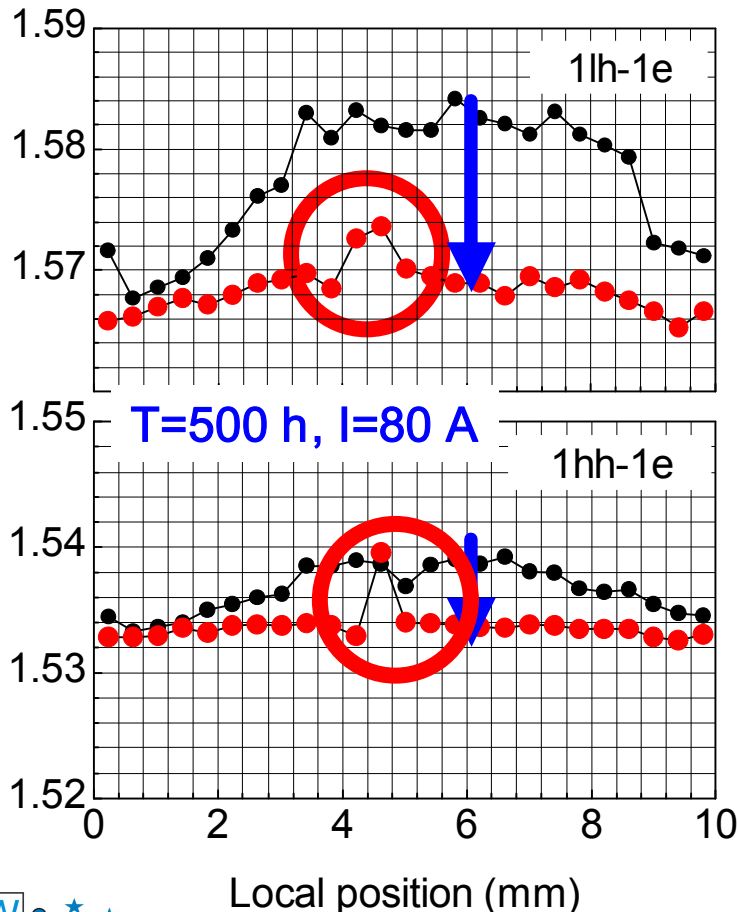
Example I: Strain relaxation during device operation



strain sensitivity ratio of the
1hh-1e/1lh-1e-transitions

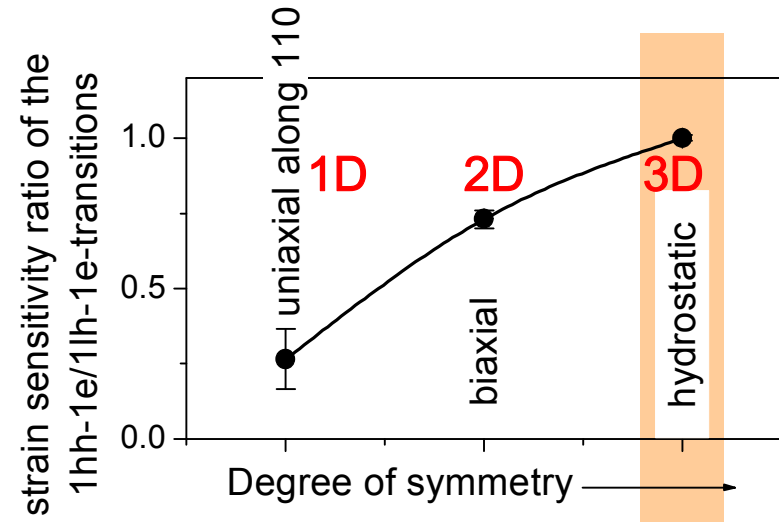
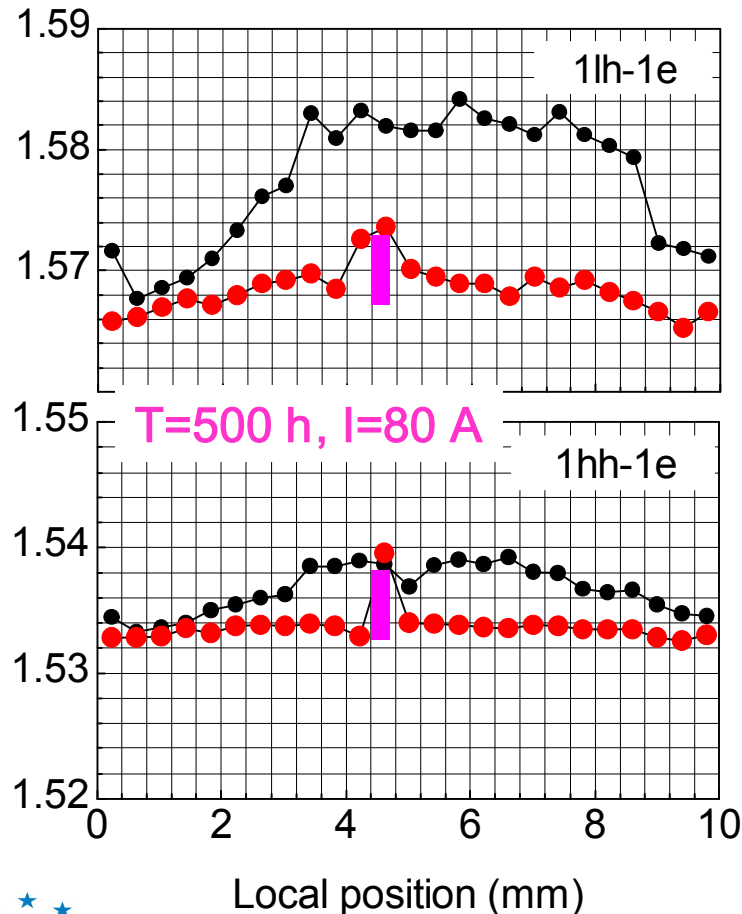


Example I: Strain relaxation during device operation



- Almost no strain relaxation at the edges
- Relaxation by about + 0.07% (~half) in center
- Strain symmetry is maintained
- Spikes

Example I: Strain ~~relaxation~~ during device operation generation



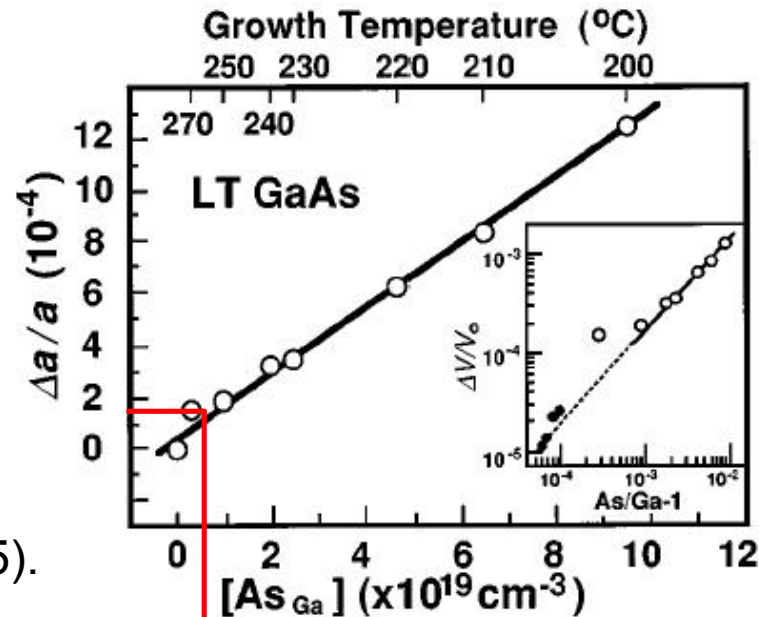
- Local strain field of hydrostatic symmetry likely to be caused by the creation of point defects
- Local hydrostatic **compression** by - 0.017%

II. 4. Interplay between strains and defects as monitored by “by-emitter” analysis

What type of defects are expected:

?

a) Point defects



Liu et al. *APL* 67, 279 (1995).

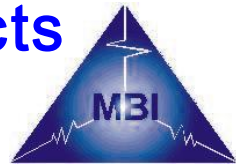
?

b) Agglomerates of point defects

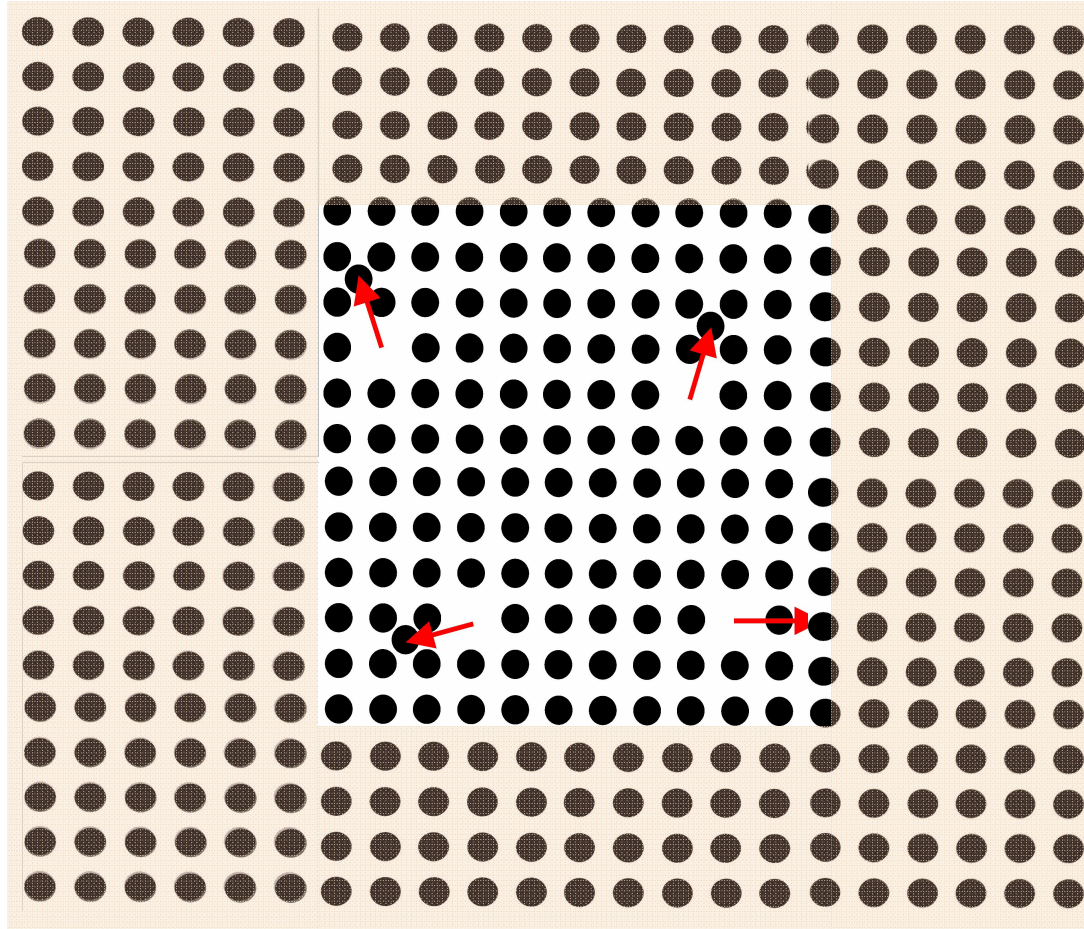
$5 \times 10^{18} \text{ cm}^{-3}$ but tension



II. 4. Interplay between strains and defects as monitored by “by-emitter” analysis



What happens defects become created within the active region of a device?



Defects tense the material because the dense package of the crystal gets disturbed ($\Delta a > 0$).

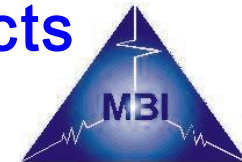
The active region is embedded into less disturbed regions that act like a ‘corset’ and does not allow any tension.

This results in an effective compression of the active area ($\Delta a < 0$).

Defects - compressive strain.



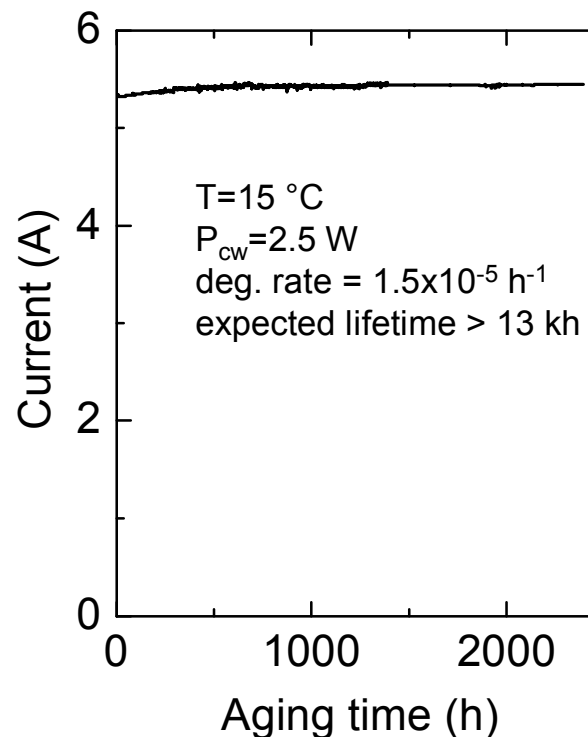
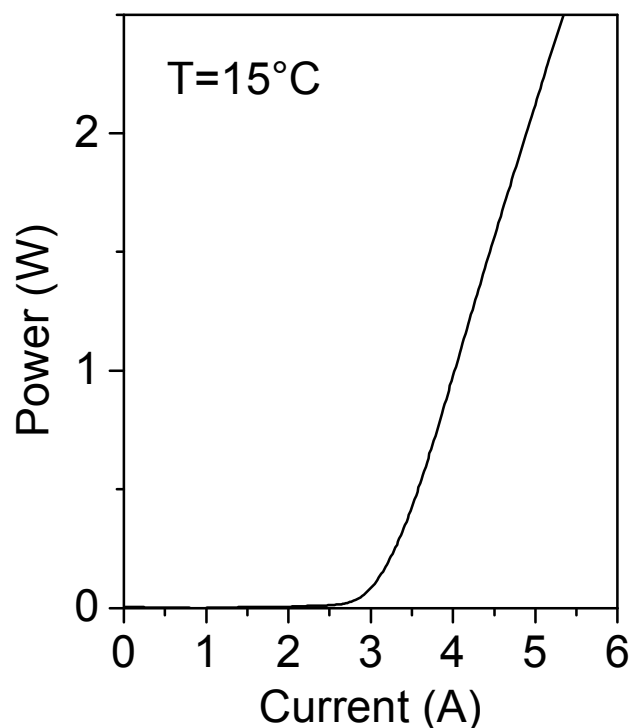
II. 4. Interplay between strains and defects as monitored by “by-emitter” analysis



Example II: Gradual degradation of red-emitting bars

cm-bars, 19 emitters, 30 μm stripe width

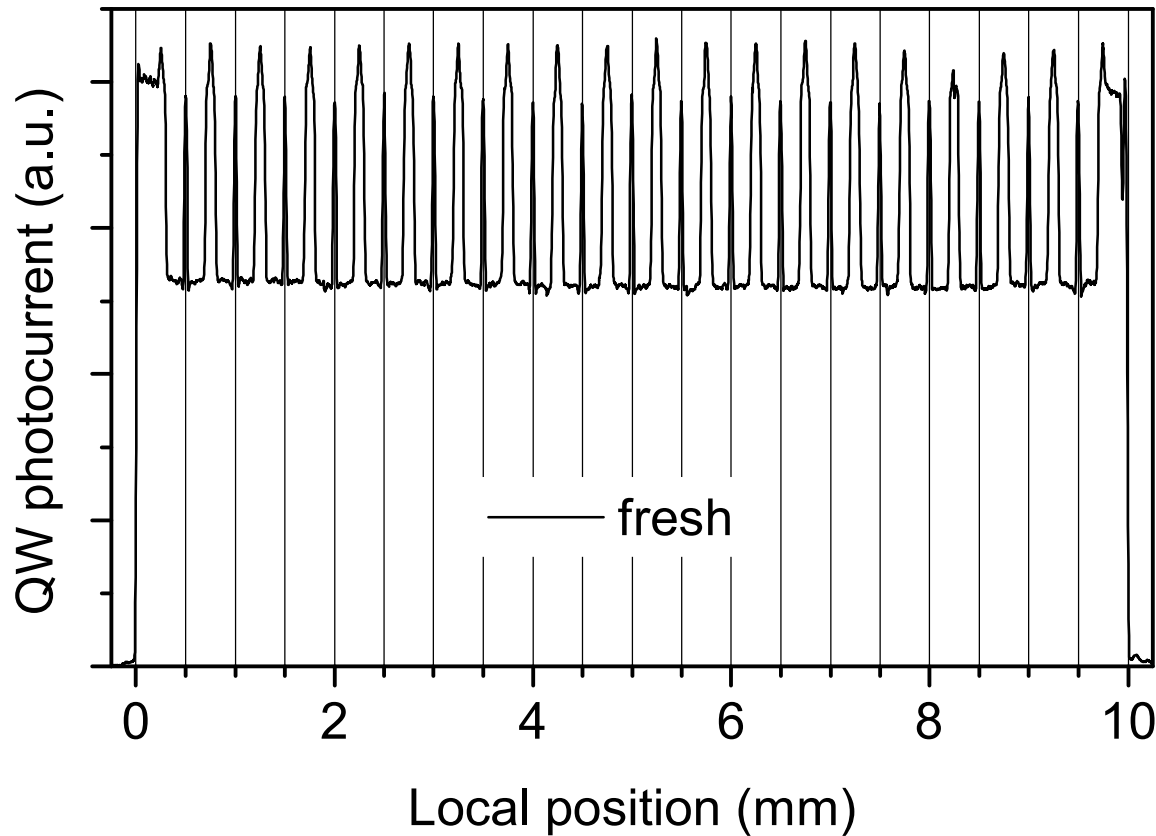
constant current aging



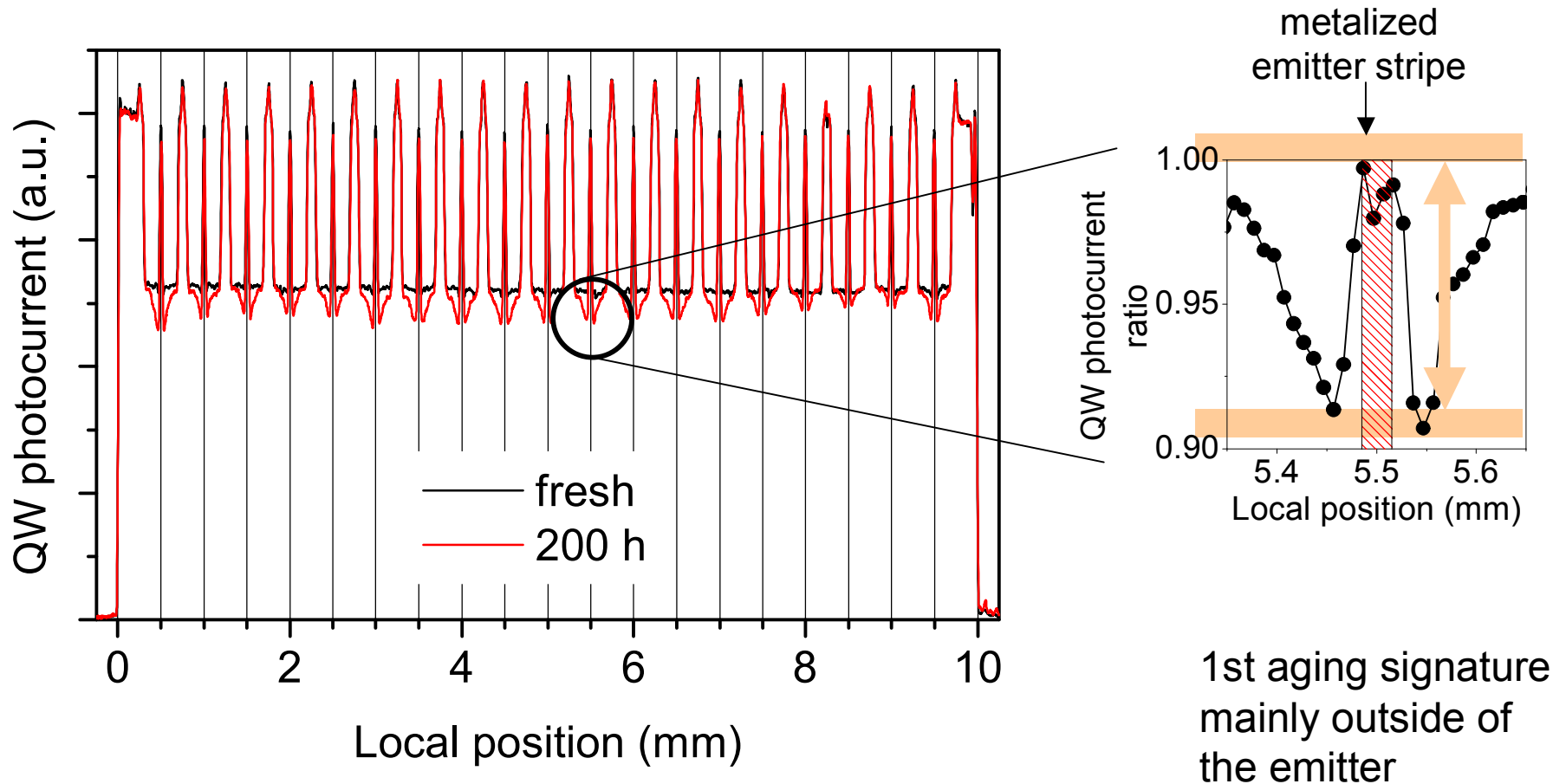
Application of the techniques sensitive to gradual degradation

II. 4. Interplay between strains and defects as monitored by “by-emitter” analysis

Example II: Gradual degradation of red-emitting bars

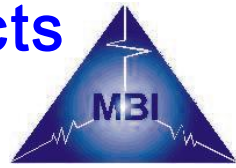


Example II: Gradual degradation of red-emitting bars





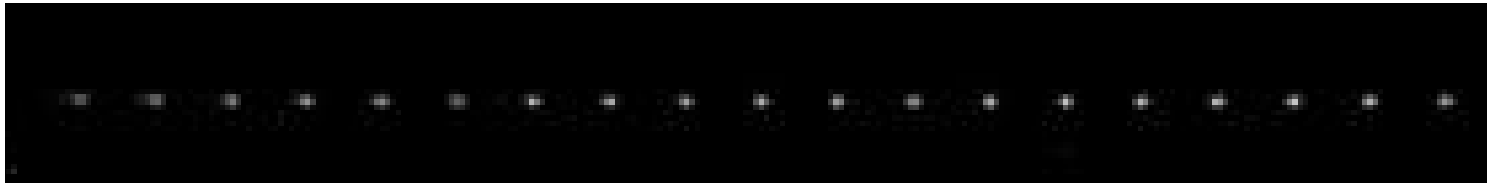
II. 4. Interplay between strains and defects as monitored by “by-emitter” analysis



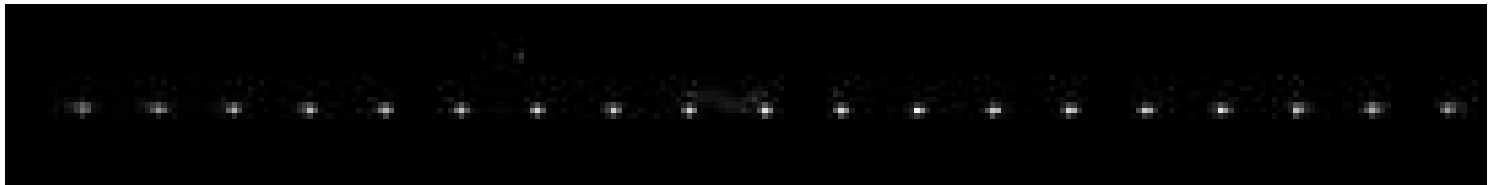
Example II: Gradual degradation of red-emitting bars

2nd aging signature Defect-related NIR emission from inside of the emitters

0 h



200 h



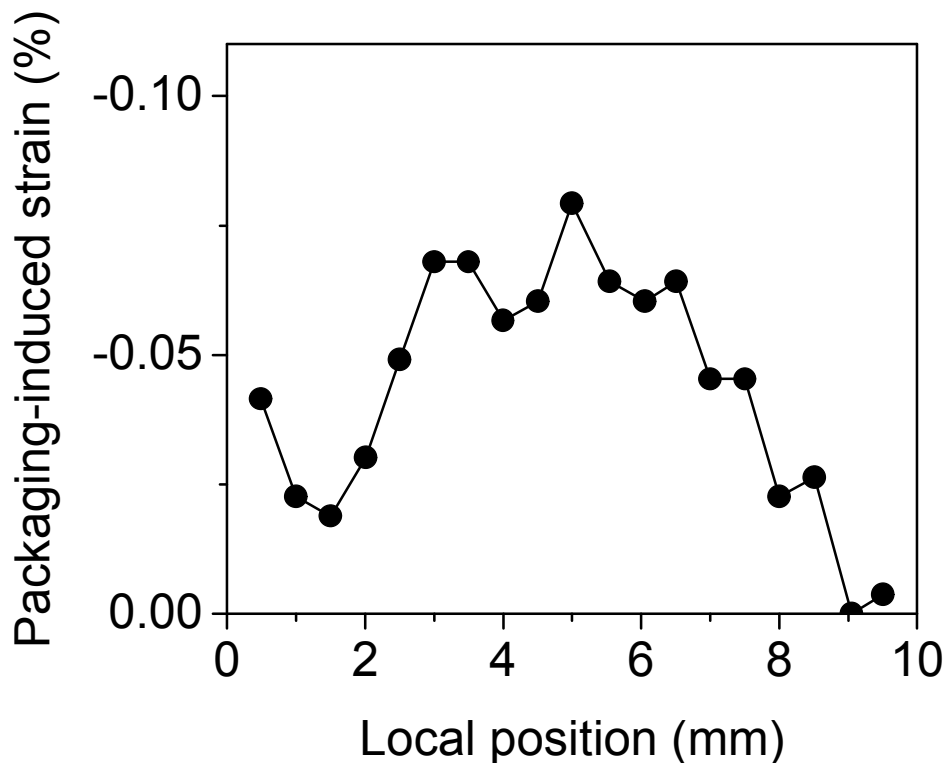
What parameter is the driving force for
the two degradation signatures?

- | | |
|-----------------------------|------------------|
| a) Temperature | Infrared imaging |
| b) Packaging-induced strain | Photocurrent |

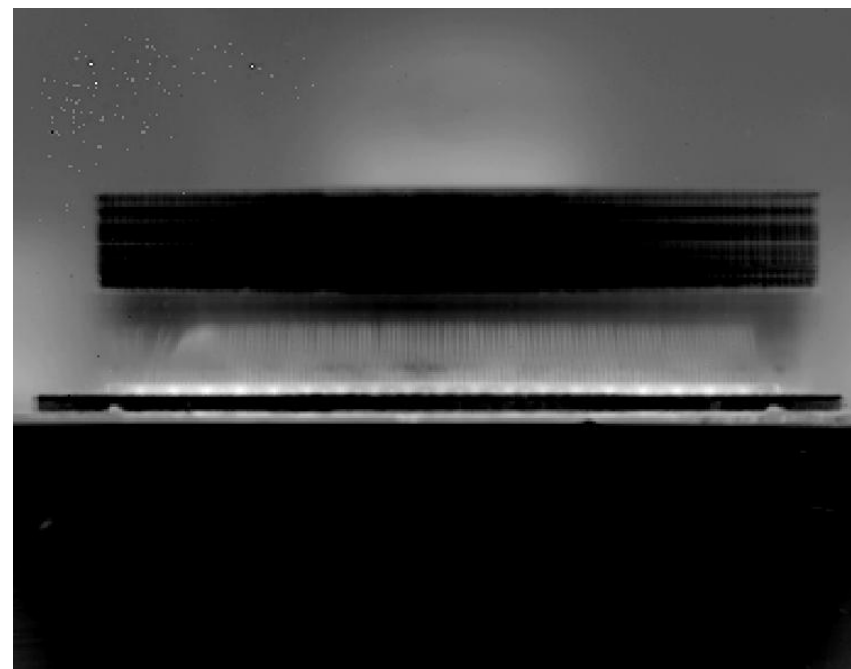
II. 4. Interplay between strains and defects as monitored by “by-emitter” analysis

Example II: Gradual degradation of red-emitting bars

Strain analysis by photocurrent spectroscopy

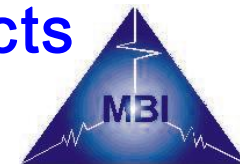


Thermal analysis by infrared imaging



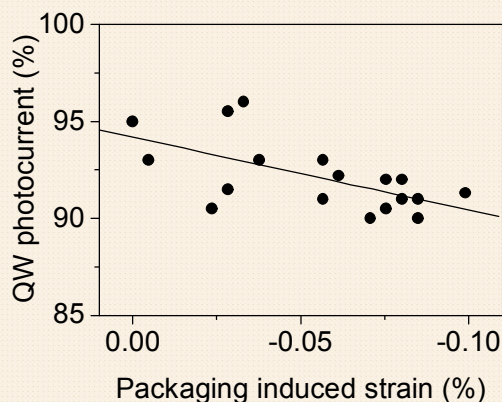


II. 4. Interplay between strains and defects as monitored by “by-emitter” analysis

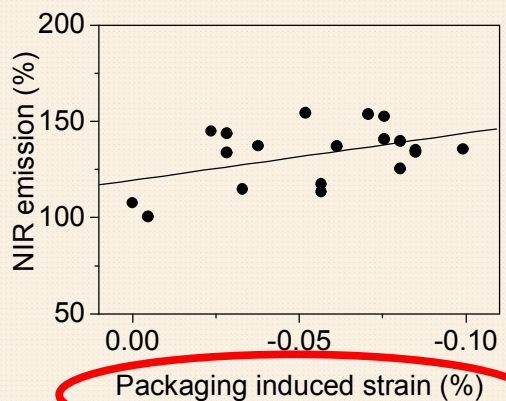


Correlation analysis

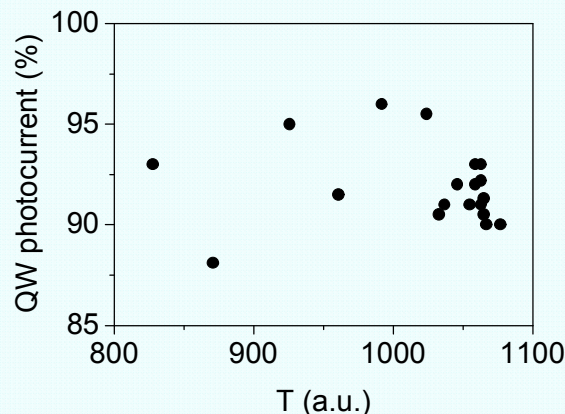
$r = -0.69$



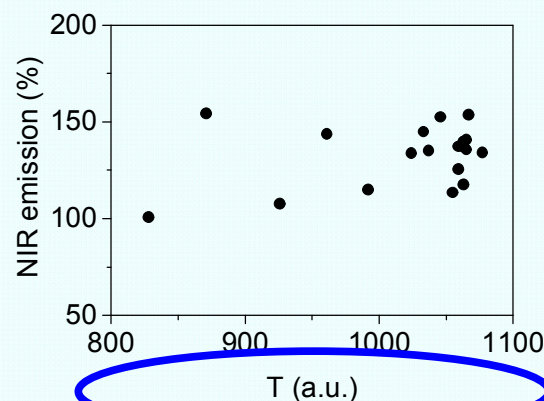
$r = 0.65$



$r = -0.11$



$r = 0.32$



II. 5. Summary

By-emitter analysis allows for establishing aging scenarios of laser arrays.

By-emitter analysis allows for improving insight into degradation effects of single emitters by understanding the interplay between strains and defects.

- a) Defects created by packaging-induced strain
- b) Strain created by accumulation of defects

Have we 'seen' any defects?

No.

- Dark spot areas in PL, CL, ...
- Shoulders in PCS
- Peaks in LBIC.

What are „defects“?

Agglomerates of 'point defects', sometimes even more complex agglomerates.

Almost no information is available about defects in QWs.

Almost no information is available about defects in QW-active areas in devices.